

Characterization of the Extended-Spectrum β -Lactamase Reference Strain, *Klebsiella pneumoniae* K6 (ATCC 700603), Which Produces the Novel Enzyme SHV-18

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Klebsiella pneumoniae K6 (ATCC 700603), a clinical isolate, is resistant to ceftazidime and other oxyimino- β -lactams. A consistent reduction in the MICs of oxyimino- β -lactams by at least 3 twofold dilutions in the presence of clavulanic acid confirmed the utility of *K. pneumoniae* K6 as a quality control strain for extended-spectrum β -lactamase (ESBL) detection. Isoelectric-focusing analysis of crude lysates of K6 demonstrated a single β -lactamase with a pI of 7.8 and a substrate profile showing preferential hydrolysis of cefotaxime compared to ceftazidime. PCR analysis of total bacterial DNA from K6 identified the presence of a *bla*_{SHV} gene. K6 contained two large plasmids with molecular sizes of approximately 160 and 80 kb. Hybridization of plasmid DNA with a *bla*_{SHV}-specific probe indicated that a *bla*_{SHV} gene was encoded on the 80-kb plasmid, which was shown to transfer resistance to ceftazidime in conjugal mating experiments with *Escherichia coli* HB101. DNA sequencing of this *bla*_{SHV}-related gene revealed that it differs from *bla*_{SHV-1} at nine nucleotides, five of which resulted in amino acid substitutions: Ile to Phe at position 8, Arg to Ser at position 43, Gly to Ala at position 238, and Glu to Lys at position 240. In addition to the production of this novel ESBL, designated SHV-18, analysis of the outer membrane proteins of K6 revealed the loss of the OmpK35 and OmpK37 porins.

Extended-spectrum β -lactamases (ESBLs) are enzymes that can hydrolyze oxyimino- β -lactams (e.g., cefotaxime, ceftazidime, and ceftriaxone) and the monobactam aztreonam, resulting in resistance to these drugs (10, 17). ESBLs, predominantly derivatives of plasmid-mediated TEM or SHV β -lactamases (10, 17), arise through mutations that result in one or more amino acid substitutions that alter the configuration or binding properties of the active site, thereby expanding the hydrolytic spectrum of the enzyme (17, 22, 30). Though these enzymes are most commonly detected in *Klebsiella pneumoniae* and *Escherichia coli* (17, 24), they have been found in other members of the family *Enterobacteriaceae* (11, 38, 40). Clinical isolates that produce ESBLs are frequently associated with nosocomial outbreaks (37, 46).

ESBL-producing *Enterobacteriaceae*, which are being identified worldwide (49, 54), are probably more prevalent than currently recognized because they are often undetected by routine susceptibility testing methods (18, 21). *K. pneumoniae* K6 (ATCC 700603) was selected by the National Committee for Clinical Laboratory Standards (NCCLS) as an ESBL quality control (QC) strain for confirmation tests that clinical laboratories can use to improve detection of ESBLs in *K. pneumoniae*, *Klebsiella oxytoca*, and *E. coli* (33). Here we report the molecular characterization of *K. pneumoniae* K6, which produces the novel β -lactamase SHV-18.

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MATERIALS AND METHODS

Bacterial strains. *K. pneumoniae* K6 (ATCC 700603) is a clinical isolate that was obtained from a patient at the Medical College of Virginia (Richmond, Va.) in 1994. *E. coli* HB101 [*F*⁻ *supE44 lacY1 ara-14 galK2 xyl-5 mtl-1 leuB6 Δ (*mcrc-mrr*) *recA13 rpsL20 thi-1 Δ (*gpt-proA*)62 hsdSB20 λ*]⁻ (6) was used as a recipient in conjugal mating experiments. *E. coli* C600(pFCT3103) (52), which produces aminoglycoside-2'-*O*-nucleotidyltransferase [ANT(2'')] was used as a positive control in the PCR detection of the *aadB* gene. *K. pneumoniae* ATCC 13883, which expresses OmpK35 and OmpK36 porins, was used for comparison in the isolation and analysis of the outer membrane proteins (OMPs).*

Determination of susceptibility of *K. pneumoniae* K6 to selected antimicrobial agents. MICs were determined by broth microdilution with cation-adjusted Mueller-Hinton broth (Difco Laboratories) using NCCLS methods (32). *E. coli* ATCC 25922, *E. coli* ATCC 35218, *Pseudomonas aeruginosa* ATCC 27853, *Staphylococcus aureus* ATCC 29213, and *Enterococcus faecalis* ATCC 29212 were used for quality control.

Isolation and analysis of OMPs. Strains were grown in Luria-Bertani (LB) broth (47) or in nutrient broth (low-osmolarity growth medium [51 mosmol kg⁻¹]) (14). OmpK35 expression is enhanced in low-osmolarity medium (14).

Bacterial cell envelopes containing cytoplasmic and outer membranes were obtained by cell lysis and centrifugation. OMPs were isolated as sodium lauryl sarcosinate-insoluble material. Electrophoretic analysis of OMPs was performed in 11% acrylamide–0.2% bisacrylamide–0.1% sodium dodecyl sulfate gels. Samples were boiled for 5 min in Laemmli's sample buffer before electrophoresis. Gels were visualized by staining with Coomassie blue.

OmpK35, OmpK36, and OmpK37 porin expression was also analyzed by Western blotting (12, 14). For this purpose, sodium dodecyl sulfate gels were transferred to Immobilon P filters (Millipore Corporation, Bedford, Mass.), essentially using the buffers and conditions described by Towbin et al. (51). Filters were blocked in 1% bovine serum albumin in phosphate-buffered saline (PBS). After washing, the filters were incubated with anti-OmpK37 (12), anti-OmpK36, or anti-OmpK35 (14) diluted 1:100, 1:1,000, and 1:5,000, respectively, and then with alkaline phosphatase-labeled goat anti-rabbit immunoglobulin G (1:5,000) (Sigma, St. Louis, Mo.). The filters were developed as previously described (12). All the incubations were carried out at room temperature for 1 h in 1% bovine serum albumin–0.05% Tween 20–PBS, and after incubations with the antiserum, washing steps with 0.05% Tween 20–PBS were performed.

IEF and β -lactamase assays. Crude preparations of β -lactamases were obtained by subjecting cells to a freeze-thaw procedure (9). Isoelectric focusing (IEF) was performed by the method of Matthew et al. (29). Crude extracts were spotted onto commercially prepared polyacrylamide gel plates (pH 3.5 to 9.5; Pharmacia LKB, Piscataway, N.J.) and electrophoresed using an LKB Multiphor II apparatus (Pharmacia LKB). Enzymes were visualized by staining with a 0.05% (500 μ g/ml) solution of nitrocefin (Becton Dickinson Microbiology Systems, Cockeysville, Md.) following IEF. The isoelectric point (pI) of SHV-18 was estimated by comparison with SHV-3 (pI 7.0), SHV-2 (pI 7.6), SHV-4 (pI 7.8), SHV-5 (pI 8.2), and MIR-1 (pI 8.4) β -lactamases.

The following β -lactams were obtained for hydrolysis assays from the sources indicated parenthetically: cephaloridine, penicillin G, and cefotaxime from Sigma Chemical Co.; ceftazidime and clavulanic acid from U.S. Pharmacopeia (Rockville, Md.); aztreonam from ICN Biomedicals, Inc. (Aurora, Oh.); and tazobactam from Lederle (Pearl River, N.Y.). All substrates were prepared daily as 1-mg/ml stocks in 50 mM phosphate buffer, pH 7.0.

For kinetic analysis, the SHV-18 β -lactamase was purified from the *E. coli* HB101 transconjugant, TC-K6/1, which was shown by IEF to contain a single enzyme of pI 7.8. Cultures for purification of SHV-18 were grown overnight at 37°C in 3 liters of trypticase soy broth supplemented with 1 μ g of ceftazidime per ml. Bacteria were harvested by centrifugation and washed with 50 mM phosphate buffer, pH 7.0. The pellet was resuspended in 5 ml of 0.2 M sodium acetate, pH 5.5, and subjected to five freeze-thaw cycles (9). β -Lactamase activity was enriched by chromatography through Sephacryl S-100 in 50 mM phosphate buffer, pH 7.0. Protein in peak fractions containing nitrocefin-hydrolyzing activity was precipitated with 90% ammonium sulfate; pellets were resuspended in 50 mM phosphate buffer, pH 7.0, and dialyzed in four 1-liter aliquots of the same buffer at 4°C over 15 h. The protein concentration of the partially purified SHV-18 β -lactamase was determined with the BCA protein assay (Pierce, Rockford, Ill.) to be 0.12 mg/ml. IEF analysis of the partially purified enzyme confirmed the presence of only one β -lactamase of pI 7.8.

For kinetic studies, initial hydrolysis rates were measured on a Shimadzu UV-1601 spectrophotometer at 25°C in 50 mM phosphate buffer, pH 7.0. K_m and V_{max} values were obtained by averaging results from Lineweaver-Burk, Eadie-Hofstee, Hanes-Woolf, and direct linear plot analyses. Substrates were assayed on two separate days. Aztreonam was hydrolyzed too slowly to reliably determine K_m and V_{max} values. V_{max} for aztreonam was estimated as two times the highest hydrolysis rate obtained. Inhibition of hydrolysis was measured after a 5-min preincubation of 2.5 μ l of enzyme with inhibitor in 20 μ l of phosphate buffer, pH 7.0, at 25°C. Cephaloridine (24 μ M) was prewarmed to 25°C and used as the substrate for the inhibition studies in a total volume of 1.0 ml. Fifty percent inhibitory concentrations were determined from inhibition graphs of percent control activity versus concentration of inhibitor.

Isolation and hybridization analysis of plasmid DNA. Plasmid DNA was isolated from *K. pneumoniae* K6, its *E. coli* HB101 transconjugant (TC-K6/1), and three unrelated *E. coli* strains harboring plasmids pDK9 (165 kb), R1 (97.6 kb), and V517 (56.4, 7.6, 5.8, 5.3, 4.1, 3.2, 2.8, and 2.2 kb) (26) employing the method of Portnoy et al. (43). Plasmids were separated in a 0.85% agarose gel prepared with 0.5 \times TBE buffer (1 \times TBE buffer contains 100 mM Tris, 90 mM boric acid, and 1 mM EDTA [pH 8.4]) and electrophoresed at 90 V for 15 h at 4°C.

For DNA sequencing, plasmid DNA was isolated using a QIAGEN Plasmid Midi kit (QIAGEN, Chatsworth, Calif.) essentially according to the instructions provided by the vendor. However, in order to enhance the yield of large, low-copy plasmids, DNA was eluted from QIAGEN-tip 100 columns using five 1-ml aliquots of elution buffer that had been prewarmed to 65°C.

DNA was transferred from agarose gels (50) to positively charged nylon membranes (Zeta-Probe; Bio-Rad Laboratories, Hercules, Calif.) and fixed by baking for 2 h at 80°C. The DNA on the filters was hybridized with a 275-bp digoxigenin-labeled *bla*_{SHV} DNA probe whose synthesis was described earlier (45). Hybridization, using the Genius nonradioactive nucleic acid labeling and detection system (Boehringer Mannheim Biochemicals, Indianapolis, Ind.), was performed at 65°C overnight (5, 45).

Transfer of resistance. Conjugal transfer of extended-spectrum β -lactam resistance was performed utilizing a filter mating method using *K. pneumoniae* K6 as the donor and streptomycin-resistant *E. coli* HB101 as the recipient. Aliquots of exponentially growing cultures of donor and recipient were mixed (10:1 ratio), placed on a 0.20- μ m-pore-size sterile filter, and allowed to mate on LB agar at 37°C for 18 h. The filter was vortexed in saline and transconjugants were selected on LB agar containing 100 μ g of streptomycin and 1.5 μ g of ceftazidime per ml.

Amplification and DNA sequence analysis. The presence of the genes encoding *OmpK35*, *OmpK36*, and *OmpK37* porins was determined by using oligonucleotides and PCR conditions described by Doménech-Sánchez et al. (12).

The *aadB* gene encoding the ANT(2'') was detected using previously described oligonucleotide primers (52). Amplification conditions were essentially as described, except that the annealing temperature was raised to 62°C.

For detection of *bla*_{SHV}, an 867-bp gene fragment was amplified using forward (5'-GGTTATGCGTTATATTCGCC-3') and reverse (5'-TTAGCGTTGCCAGTGCTC-3') oligonucleotide primers whose first 5' bases correspond to positions 121 and 988, respectively, within the coding sequence of SHV-1 (31, 45).

Amplification of the 867-bp *bla*_{SHV} fragment was performed in a 100- μ l reaction mixture containing 1 μ l of crude cellular lysate, 10 pmol of each primer,

a 200 μ M concentration of each deoxynucleoside triphosphate, 1 \times reaction buffer containing 1.5 mM MgCl₂ (Perkin-Elmer, Applied Biosystems Division [PE-ABI], Foster City, Calif.), and 2.5 U of native *Taq* polymerase (PE-ABI) using a GeneAmp PCR system 9600 thermal cycler (PE-ABI). Cycling parameters included a 5-min initial denaturation at 96°C followed by 35 cycles of denaturation (96°C for 1 min), annealing (60°C for 1 min), and extension (72°C for 1 min), ending in a final extension period of 72°C for 10 min. A 275-bp digoxigenin-labeled *bla*_{SHV} probe was prepared under the same conditions, but an alternate deoxynucleoside triphosphate mix containing substituted nucleosides was used (45).

The 275-bp PCR product amplified from *bla*_{SHV-1} (45) and a 351-bp fragment amplified from *bla*_{TEM-1} were used as controls in hybridization experiments. The *bla*_{TEM-1} fragment was generated with forward (5'-ATGAGTATCAACATTTCCG-3') (45) and reverse (5'-TTACTGTGTCATGCCATCC-3') (25) oligonucleotide primers using cycling parameters that were similar to those used for *bla*_{SHV-1}, except that the annealing temperature was 55°C.

The nucleotide sequence of the *bla*_{SHV-18} gene was initially determined from an 80-kb plasmid purified from the *E. coli* HB101 transconjugant to which ceftazidime resistance had been transferred. The DNA sequence of most of the *bla*_{SHV-18} gene, including its upstream regulatory region, was determined for both strands using previously described oligonucleotide primers (45). To confirm and complete the sequence of both strands of the entire gene, forward (5'-AG AATAGCGCTGAGGTCTG-3') and reverse (5'-AGCGGAGAAGCATCCTG-3') oligonucleotide primers, identified outside of the *bla*_{SHV-18} coding region, were used to generate a 1,369-bp PCR product from *K. pneumoniae* K6 and its transconjugant. Amplification conditions were as described above but with an annealing temperature of 63°C. Direct sequencing of these PCR products followed purification on QIAquick spin columns (QIAGEN).

Cycle sequencing reactions were performed in a GeneAmp PCR system 9600 thermal cycler with the ABI Prism dRhodamine terminator cycle sequencing ready reaction kit according to instructions provided by the vendor (PE-ABI). Products from sequencing reactions were purified on Centri-Sep spin columns (Princeton Separations, Adelphia, N.J.) before analysis on an ABI Prism 377 DNA sequencer (PE-ABI).

In order to eliminate errors that may have been introduced during amplification, the DNA sequences of leading and lagging strands were determined for independent PCR products. DNA sequencing data were analyzed using DNASIS for Windows (Hitachi Software Genetic Systems, San Francisco, Calif.).

Nucleotide sequence accession number. The nucleotide sequence of *bla*_{SHV-18} reported in this study will appear under the GenBank accession number AF132290.

RESULTS AND DISCUSSION

Antimicrobial susceptibility patterns. Using NCCLS interpretive criteria (32), *K. pneumoniae* K6 was resistant to ampicillin, aztreonam, ceftaxime, cefepime, cefotaxime, ciprofloxacin, imipenem, piperacillin-tazobactam, tobramycin, and trimethoprim-sulfamethoxazole (Table 1).

A reduction in the MICs of aztreonam, cefotaxime, cefepime, ceftazidime, and ceftriaxone by 3 two-fold dilutions or more in the presence of clavulanic acid was indicative of ESBL production by *K. pneumoniae* K6 (Table 1).

β -Lactamase characterization. IEF of crude lysates of *K. pneumoniae* K6 (Fig. 1, lane 3) and the *E. coli* HB101 transconjugant TC-K6/1 (Fig. 1, lane 4) revealed a single β -lactamase in each with a pI of 7.8.

The kinetic parameters for purified SHV-18 are summarized in Table 2. The highest V_{max} was obtained for cephaloridine, which was hydrolyzed two times faster than penicillin. The rate of hydrolysis of penicillin was approximately four times the rate for cefotaxime. For the two extended-spectrum cephalosporins tested, the V_{max} for cefotaxime was approximately two times greater than that for ceftazidime. The lowest hydrolysis rate of the five substrates tested was for aztreonam.

As shown in Table 3, the relative rates of hydrolysis obtained for SHV-18 were most consistent with the rates for the SHV-5 and SHV-7 β -lactamases. One minor difference in the hydrolytic profiles is that the relative rate of hydrolysis of cephaloridine compared to penicillin for SHV-18 was approximately twofold higher than that for SHV-7. SHV-18 differs from

TABLE 1. MICs of selected antimicrobial agents for various strains

Antimicrobial agent	MIC ($\mu\text{g/ml}$) for:		
	<i>K. pneumoniae</i>	<i>E. coli</i>	<i>E. coli</i> HB101
	K6	HB101	TC-K6/1
Ampicillin	>64	4	>64
Amoxicillin-clavulanate ^a	8	4	4
Aztreonam	64	0.12	8
Aztreonam-clavulanate	≤ 0.25	0.12	≤ 0.25
Cefotaxime	8	0.06	1
Cefotaxime-clavulanate	≤ 0.25	0.06	≤ 0.25
Cefpodoxime	16	0.5	8
Cefpodoxime-clavulanate	1	0.5	≤ 0.25
Ceftazidime	32	0.25	8
Ceftazidime-clavulanate	1	0.25	≤ 0.25
Ceftriaxone	16	≤ 0.06	4
Ceftriaxone-clavulanate	≤ 0.25	≤ 0.06	≤ 0.25
Cefepime	1	≤ 0.5	≤ 0.5
Cefoxitin	32	8	4
Chloramphenicol	>32	8	8
Ciprofloxacin	0.5	≤ 0.06	≤ 0.06
Gentamicin	8	≤ 0.25	2
Imipenem	≤ 1	≤ 1	≤ 1
Piperacillin	>128	≤ 2	32
Piperacillin-tazobactam	16	2	≤ 1
Tetracycline	16	2	≤ 1
Tobramycin	4	≤ 0.25	2
Trimethoprim-sulfamethoxazole	2	≤ 0.12	≤ 0.12

^a Clavulanic acid was used at a final concentration of 4 $\mu\text{g/ml}$.

SHV-7 by a single amino acid substitution, a replacement of alanine for serine at position 238 (1, 8). The relative rates of hydrolysis of cephaloridine and cefotaxime for SHV-18 were very similar to the rates for SHV-13, which also contains an alanine substitution at position 238 (55).

The SHV-18 enzyme had high affinities for both penicillin and cefotaxime, based on the K_m s of 3.2 and 3.5 μM , respectively (Table 2). Although aztreonam hydrolysis was observed, the rate of hydrolysis was too low to obtain an accurate K_m under these assay conditions. Relative hydrolytic efficiencies, measured by V_{\max}/K_m , revealed that penicillin was hydrolyzed approximately two times as efficiently as cephaloridine. The hydrolytic efficiency of penicillin was approximately 4 and 65 times faster than the values for cefotaxime and ceftazidime,

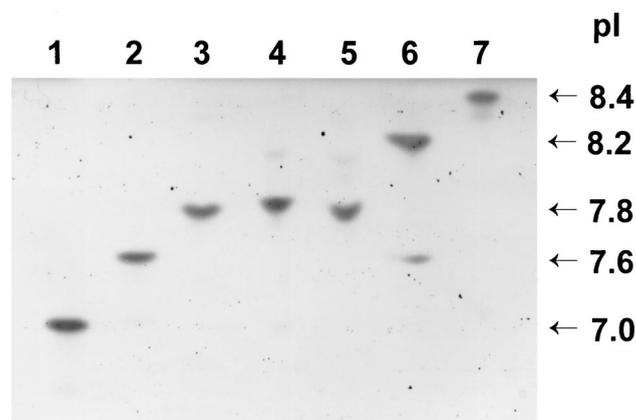


FIG. 1. IEF patterns of β -lactamases produced by *K. pneumoniae* K6, its *E. coli* transconjugant, and controls. Lane 1, SHV-3 (pI 7.0); lane 2, SHV-2 (pI 7.6); lane 3, *K. pneumoniae* K6; lane 4, TC-K6/1 (*E. coli* HB101 transconjugant); lane 5, SHV-4 (pI 7.8); lane 6, SHV-5 (pI 8.2); lane 7, MIR-1 (pI 8.4).

TABLE 2. Kinetic properties of purified SHV-18 β -lactamase

Substrate	Mean V_{\max} ^a \pm SD	Relative V_{\max} (%)	Mean $K_m \pm$ SD (μM)	Relative V_{\max}/K_m
Penicillin G	27 \pm 1.5	100	3.15 \pm 1.15	100
Cephaloridine	54 \pm 0.4	200	12 \pm 0.7	53
Ceftazidime	3.7 \pm 0.08	13.5	28.1 \pm 4.1	1.5
Cefotaxime	7.3 \pm 0.05	26.9	3.45 \pm 0.02	24
Aztreonam ^b	<1	<1	ND ^c	ND

^a Nanomoles of substrate hydrolyzed per minute per milligram of protein.

^b Values based on estimated V_{\max} .

^c ND, not determined. The rate of hydrolysis of aztreonam was too slow to obtain an accurate K_m value.

respectively. Cefotaxime was hydrolyzed approximately 15 times as efficiently as ceftazidime.

Clavulanic acid was a fivefold-better inhibitor for SHV-18 than tazobactam, with 50% inhibitory concentrations of 4.7 and 23.9 nM, respectively (data not shown). As expected for serine-based β -lactamases, no inhibition was observed when the enzyme was preincubated with 10 mM EDTA at pH 7.0.

Characterization of OMPs. While the genes encoding the porins (OmpK35, OmpK36, and OmpK37) were present in each of the strains tested as shown by PCR, not all of them were expressed. Two porins, corresponding to OmpK36 and OmpK35, were identified, via Western blots, in the reference strain *K. pneumoniae* ATCC 13883. However, *K. pneumoniae* K6, grown in LB broth or nutrient broth (low osmolarity), expressed only one porin which was confirmed by Western blot analysis to be OmpK36. K6 did not express the OmpK35 or OmpK37 porins (data not shown).

Plasmid profile, mating experiments, PCR analysis, and hybridization studies. Plasmids encoding ESBLs are typically large (80 to 300 kb) and carry multiple resistance determinants (17, 19). *K. pneumoniae* K6 contained two plasmids with molecular sizes of approximately 160 and 80 kb (data not shown). When *K. pneumoniae* K6 was mated with *E. coli* HB101, transconjugants selected on streptomycin (100 $\mu\text{g/ml}$) and ceftazidime (1.5 $\mu\text{g/ml}$) were obtained. Plasmid analysis of transconjugants revealed that ceftazidime resistance transferred with the 80-kb plasmid. An 867-bp gene fragment was amplified from *K. pneumoniae* K6 and the transconjugant, TC-K6/1, using *bla*_{SHV}-specific PCR primers, and the *bla*_{SHV} gene in both was localized on the 80-kb plasmid by hybridization of plasmid DNA with a *bla*_{SHV}-specific digoxigenin-labeled probe (data not shown). Resistance to cefoxitin, chloramphenicol, and tetracycline were not cotransferred with ceftazidime resistance, although aminoglycoside resistance, mediated by the *aadB* gene, was also transferred (Table 1). The *aadB* gene

TABLE 3. Relative hydrolysis rates for purified SHV β -lactamases

Substrate	V_{\max} (%) ^d				
	SHV-1 ^a	SHV-5 ^b	SHV-7 ^c	SHV-13 ^a	SHV-18
Penicillin G	100	100	100	100	100
Cephaloridine	48	140	91	176	200
Cefotaxime	0.18	25	30	27	27
Ceftazidime	0.02	11	13	0.38	14
Aztreonam	<1	2	3.3	0.66	<1

^a Data are from reference 55.

^b Data are from reference 10.

^c Data are from reference 8

^d Relative to penicillin G.

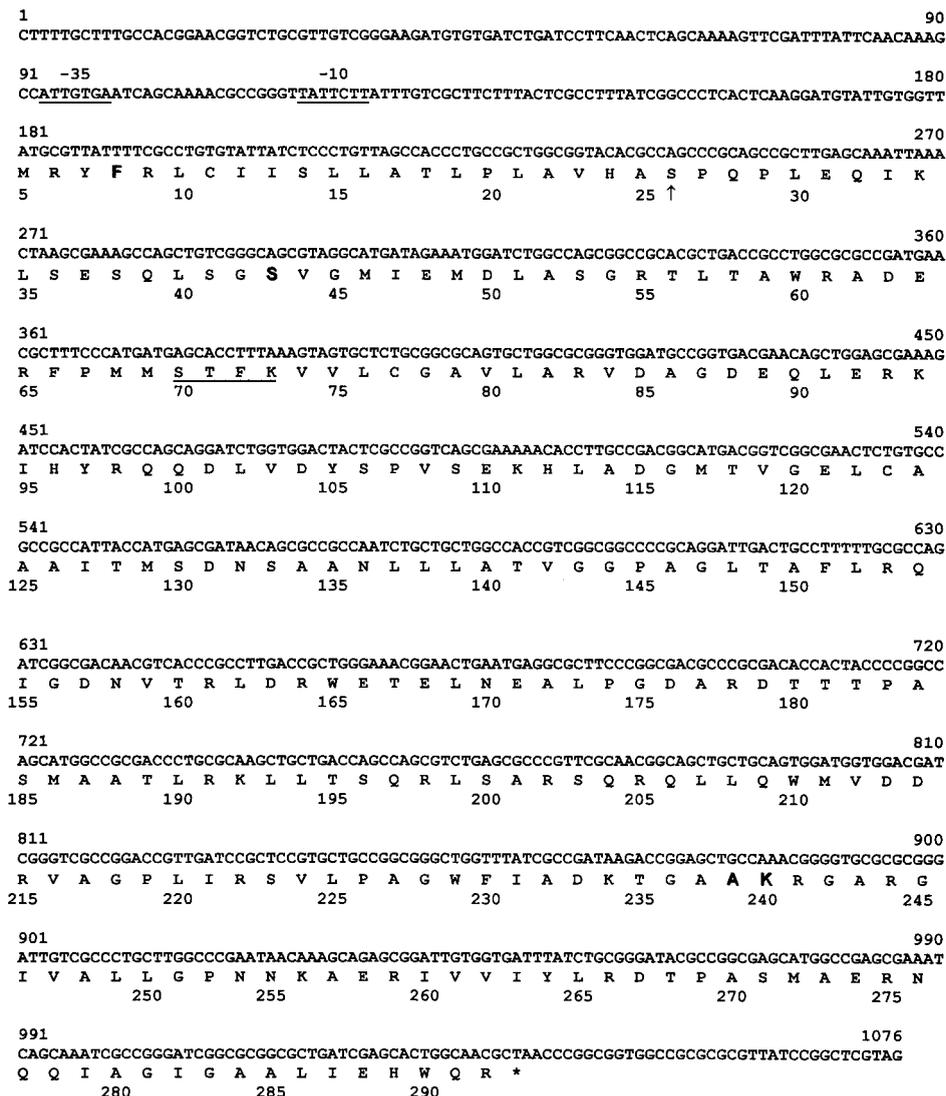


FIG. 2. Nucleotide sequence and predicted amino acid sequence of the SHV-18 β-lactamase gene. Amino acid numbering is according to the consensus numbering of Ambler et al. (1). Amino acids 238 and 240 are adjacent because of a deletion observed within the class A consensus sequence. An arrow indicates the start of the mature protein, as determined previously (2, 39). Underlined segments of the nucleotide sequence represent the putative -35 and -10 consensus sequences. The underlined region of the amino acid sequence indicates the active site Ser-X-X-Lys motif. Amino acids represented by letters in boldface type indicate significant changes from the amino acid sequence of SHV-1 (3).

encoding ANT(2'') was detected by PCR in both K6, which showed intermediate levels of resistance to gentamicin (Table 1), and the transconjugant, TC-K6/1 (data not shown).

DNA sequence and inferred amino acid analysis. The nucleotide sequence of the gene encoding the novel ESBL was determined by using the 80-kb plasmid and a 1,369-bp PCR product, amplified from TC-K6/1, which encompassed both the structural gene and its upstream regulatory region. Both strands of the entire *bla*_{SHV}-related gene were sequenced using a set of nested oligonucleotide primers (45). The nucleotide sequence and predicted amino acid sequence for this novel gene, designated *bla*_{SHV-18}, are shown in Fig. 2.

The coding region of *bla*_{SHV-18} differed from that of *bla*_{SHV-1} (GenBank accession number AF148850) (7) at nine nucleotides, five of which resulted in four amino acid substitutions in the inferred protein: phenylalanine for isoleucine at position 8 in the leader peptide region, serine for arginine at position 43,

alanine for glycine at position 238, and lysine for glutamate at position 240 (1) (Fig. 2). The four additional nucleotides that differed from *bla*_{SHV-1} (7) were silent point mutations, a C-to-T exchange at nucleotide 537, an A-to-G substitution at nucleotide 582, and C-to-G substitutions at nucleotides 939 and 966 of *bla*_{SHV-18} (Fig. 2).

Analysis of the nucleotide sequence of the upstream non-coding region of *bla*_{SHV-18} (Fig. 2) shows that it is nearly identical to *bla*_{SHV-7} (8) from position 180 through the -35 consensus sequence to position 77, with only a single difference at position 86, i.e., an A instead of a C in *bla*_{SHV-7}. Except for the codon difference resulting in an alanine at position 238 of SHV-18, *bla*_{SHV-18} differs from *bla*_{SHV-7} within the coding region at only two nucleotides, silent G-for-C substitutions at positions 939 and 966 (Fig. 2). This high degree of identity between *bla*_{SHV-18} and *bla*_{SHV-7} in both noncoding and coding regions of the genes may suggest a common lineage.

TABLE 4. Comparative amino acid sequences of SHV-type β -lactamases at selected positions

β -Lactamase	pI	Amino acid at position ^a :						Reference(s)
		8	35	43	205	238	240	
SHV-1	7.6	Ile	Leu	Arg	Arg	Gly	Glu	3, 7
SHV-2	7.6	Ile	Leu	Arg	Arg	Ser ^b	Glu	2, 13, 15, 41
SHV-2A	7.6	Ile	Gln	Arg	Arg	Ser	Glu	42
SHV-3	7.0	Ile	Leu	Arg	Leu	Ser	Glu	34
SHV-4	7.8	Ile	Leu	Arg	Leu	Ser	Lys	39
SHV-5	8.2	Ile	Leu	Arg	Arg	Ser	Lys	4
SHV-7	7.6	Phe	Leu	Ser	Arg	Ser	Lys	8
SHV-12	8.2	Ile	Gln	Arg	Arg	Ser	Lys	35
SHV-13	7.6	Ile	Gln	Arg	Arg	Ala	Glu	55
OHIO-1	7.0	Phe	Leu	Ser	Arg	Gly	Glu	48
SHV-18	7.8	Phe	Leu	Ser	Arg	Ala	Lys	This study

^a Location of selected amino acids according to the consensus numbering of Ambler et al. (1).

^b Amino acids in boldface type represent differences from SHV-1 at these positions (3).

A comparison of amino acid sequences at key positions for SHV-18 and related β -lactamases is shown in Table 4. Two of the four substitutions in the SHV-18 β -lactamase, phenylalanine at position 8 and serine at position 43, are shared only by SHV-7 (8) and OHIO-1 (48) but not by other SHV-type variants as shown in the Jacoby and Bush website (<http://www.lahey.org/studies/webt.htm>). The substitution of serine at position 43 is also present in a recently described SHV-type ESBL expressed in *K. pneumoniae* and *Enterobacter cloacae* isolates (P. L. Winokur, D. L. Desalvo, R. N. Jones, and M. A. Pfaller, Abstr. 39th Intersci. Conf. Antimicrob. Agents Chemother., abstr. 2045, 1999).

Substitution of alanine for glycine at position 238 in SHV-18 and SHV-13 (55) β -lactamases is unique among both SHV- and TEM-related derivatives (<http://www.lahey.org/studies/webt.htm>). With the exception of these two variants, the only substitution at position 238 observed among natural isolates resistant to oxymino-cephalosporins has been a serine-for-glycine change which has been correlated with resistance to cefotaxime (16, 23). It is now clear from the kinetic properties of SHV-18 and SHV-13 (55) (Table 3) that an alanine at position 238 can also confer cefotaxime-hydrolyzing activity.

Substitution of lysine for glutamate at position 240 of SHV-18, also seen in a number of other variants, including SHV-4 (39), SHV-5 (4), SHV-7 (8), and SHV-12 (35), is thought to have little effect on the hydrolysis of cefotaxime (16) but is necessary for resistance to ceftazidime and aztreonam (4, 16, 39). A high-level of resistance to ceftazidime, however, is achieved only by strains producing an SHV enzyme containing both serine at position 238 and lysine at position 240 (16). Substitutions at positions 238 and 240, which are adjacent residues, closely follow the highly conserved box VII triad (lysine [histidine]-threonine [serine]-glycine) described by Joris et al. (20) which is associated with cephalosporinase activity.

The influence of the modification at position 8 in the leader peptide on targeting the β -lactamase to the periplasm where it resides, or the significance of the substitution of serine for arginine at position 43, a location that very closely precedes the conserved box I motif described by Joris et al. (20), remains unclear (8).

The inability to cotransfer resistance to ceftaxime with ceftazidime resistance suggested that a combination of resistance mechanisms may be occurring simultaneously in *K. pneumoniae* K6. For example, an increase in MICs of cephamycins in other ESBL-producing strains of *K. pneumoniae* has been attributed to porin loss (27, 28, 36). Examination of the outer membrane components of *K. pneumoniae* K6 revealed a loss of

expression of the OmpK35 porin, the putative homologue of the *E. coli* OmpF porin, which is consistent with an increase in the MIC of ceftaxime and increased resistance to extended-spectrum cephalosporins (14, 27, 28). Although OmpK36, the homologue of the *E. coli* OmpC porin (14), was expressed in K6, the OmpK37 porin (12) was not. OmpK37 is thought to be a narrower pore and is usually expressed under conditions which result in loss of the other two porins (i.e., antimicrobial pressure).

Reduced permeability of *K. pneumoniae* K6 due to porin loss may help explain why the MIC of ceftazidime is higher than that of cefotaxime (Table 1), yet the kinetic data show ceftaxime to be the preferred substrate of purified SHV-18 β -lactamase (Table 2). Studies of clinical isolates of *K. pneumoniae* with variable porin expression indicate that the MIC of ceftaxime reverts back to that of a porin-sufficient strain if either OmpK35 or OmpK36 is present (12, 27), suggesting that ceftaxime can diffuse efficiently through either porin. On the other hand, the MIC of ceftazidime in an OmpK35-deficient strain remains elevated even if expression of OmpK36 is restored, indicating that ceftazidime enters the cell through the OmpK35 porin (27). It has also been shown, in reconstituted proteoliposome studies, that the relative diffusion rate of ceftazidime through *E. coli* OmpF porin channels is considerably lower than that of cefotaxime, which could also account for the higher MIC of ceftazidime in the HB101 transconjugant (53).

The susceptibility and kinetic profiles of *K. pneumoniae* K6 and SHV-18 are consistent with those of *K. pneumoniae* 803 and the enzyme it produces, SHV-13, an ESBL which also displays cefotaxime-hydrolyzing activity despite containing an alanine rather than a serine substitution at position 238 (55).

The continuing evolution of genes encoding ESBLs such as SHV-18, reflected in the increasingly large number of derivatives of TEM and SHV β -lactamases (<http://www.lahey.org/studies/webt.htm>), and their widespread dissemination on multiresistant plasmids significantly limit therapeutic choices. Perhaps improved detection of ESBLs will provide a more accurate assessment of their prevalence and lead to a more focused use of antimicrobial agents (44), which in turn will reduce the selection and spread of organisms producing these enzymes.

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