Novel Carbapenem-Hydrolyzing β-Lactamase, KPC-1, from a Carbapenem-Resistant Strain of *Klebsiella pneumoniae*

HESNA YIGIT,¹ ANNE MARIE QUEENAN,² GREGORY J. ANDERSON,¹ ANTONIO DOMENECH-SANCHEZ,³ JAMES W. BIDDLE,¹ CHRISTINE D. STEWARD,¹ SEBASTIAN ALBERTI,⁴ KAREN BUSH,² AND FRED C. TENOVER^{1*}

Hospital Infections Program, National Center for Infectious Diseases, Centers for Disease Control and Prevention, Atlanta, Georgia 30333¹; The R. W. Johnson Pharmaceutical Research Institute, Raritan, New Jersey 08869²; and Unidad de Investigacion, Hospital Son Dureta, Andrea Doria, Palma de Mallorca, 07014,⁴ and Área de Microbiologia, Universidad de las Islas Baleares, Crtra. Valldemosa, Palma de Mallorca, 07071,³ Spain

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A Klebsiella pneumoniae isolate showing moderate to high-level imipenem and meropenem resistance was investigated. The MICs of both drugs were 16 μ g/ml. The β -lactamase activity against imipenem and meropenem was inhibited in the presence of clavulanic acid. The strain was also resistant to extended-spectrum cephalosporins and aztreonam. Isoelectric focusing studies demonstrated three β-lactamases, with pIs of 7.2 (SHV-29), 6.7 (KPC-1), and 5.4 (TEM-1). The presence of *bla*_{SHV} and *bla*_{TEM} genes was confirmed by specific PCRs and DNA sequence analysis. Transformation and conjugation studies with Escherichia coli showed that the β -lactamase with a pI of 6.7, KPC-1 (K. pneumoniae carbapenemase-1), was encoded on an approximately 50-kb nonconjugative plasmid. The gene, *bla*_{KPC-1}, was cloned in *E. coli* and shown to confer resistance to imipenem, meropenem, extended-spectrum cephalosporins, and aztreonam. The amino acid sequence of the novel carbapenem-hydrolyzing β-lactamase, KPC-1, showed 45% identity to the pI 9.7 carbapenem-hydrolyzing β-lactamase, Sme-1, from Serratia marcescens S6. Hydrolysis studies showed that purified KPC-1 hydrolyzed not only carbapenems but also penicillins, cephalosporins, and monobactams. KPC-1 had the highest affinity for meropenem. The kinetic studies also revealed that clavulanic acid and tazobactam inhibited KPC-1. An examination of the outer membrane proteins of the parent K. pneumoniae strain demonstrated that the strain does not express detectable levels of OmpK35 and OmpK37, although OmpK36 is present. We concluded that carbapenem resistance in K. pneumoniae strain 1534 is mainly due to production of a novel Bush group 2f, class A, carbapenem-hydrolyzing β -lactamase, KPC-1, although alterations in porin expression may also play a role.

The carbapenems, such as imipenem and meropenem, are used with increasing frequency in the United States and elsewhere for the treatment of multiresistant gram-negative nosocomial pathogens (21, 29, 30). Resistance to carbapenems is uncommon in enteric organisms; however, resistance can arise by three known mechanisms. First, high-level production of a chromosomal AmpC cephalosporinase combined with decreased outer membrane permeability due to loss or alteration of porins can result in carbapenem resistance. This has been shown for Enterobacter cloacae (28, 54), Enterobacter aerogenes (9, 10, 13, 23), Proteus rettgeri (54), Citrobacter freundii (32), Escherichia coli (11, 64), and Klebsiella pneumoniae (5, 7, 16). The second mechanism is production of a β -lactamase that is capable of hydrolyzing carbapenems (8, 30, 58) (e.g., IMI-1 [57], IMP-1 [3, 48], Nmc-A [42, 46], Sme-1 [41], and CfiA [69]). The third mechanism of resistance involves changes in the affinity of the target enzymes, the penicillin binding proteins, for carbapenems (15, 70).

In this study, a *K. pneumoniae* strain manifesting carbapenem resistance was collected through project ICARE (Intensive Care Antimicrobial Resistance Epidemiology) (4, 20) and analyzed for its mechanism(s) of carbapenem resistance. The results presented suggest that the carbapenem resistance phenotype of the strain is mainly caused by the production of a novel class A β -lactamase, KPC-1.

MATERIALS AND METHODS

Bacterial strains. The carbapenem-resistant strain *K. pneumoniae* 1534 was collected from a hospital in North Carolina participating in project ICARE (4, 20). Identification of the isolate was confirmed using standard biochemical tests (17). *E. coli* HB101 [F⁻ *supE44 lacY1 ara-14 galK2 xyl-5 mtl-1 leuB6* Δ (*mcrC-mrr) recA13 rpsL20 thi-1* Δ (*gpt-proA)62 hsdSB20* λ^{-}] (60) was used for electroporation of plasmid DNA isolated from *K. pneumoniae* 1534 and as a recipient in conjugal mating experiments (38). *E. coli* DH5 α [*supE44* Δ *lacU169* (ϕ 80 *lacZ*\DeltaM15) *hsdR17 recA1 gyrA96 thi-1 relA1*] was used for cloning the β-lactamase and for plasmid DNA preparation of the clone for DNA sequence analysis (60). *K. pneumoniae* ATCC 13883 (type strain) and *K. pneumoniae* 37, a carbapenem-susceptible clinical isolate from the Centers for Disease Control and Prevention collection, were used as controls for porin profiles.

Antimicrobial susceptibility testing. Organisms were tested by broth microdilution using Mueller-Hinton broth (BD Biosciences, Sparks, Md.) as described by NCCLS (43) and by disk diffusion using Mueller-Hinton agar (Difco Laboratories, Detroit, Mich.) as described by NCCLS (44). Antimicrobial agent powders were obtained from the following sources: amikacin, amoxicillin, ampicillin, cefotaxime, ceftriaxone, chloramphenicol, gentamicin, piperacillin, trimethoprim-sulfamethoxazole, and tetracycline from Sigma Chemical Co., St. Louis, Mo.; aztreonam from Bristol-Myers Squibb, Princeton, N.J.; ceftazidime and tobramycin from Eli Lilly, Indianapolis, Ind.; cefoxitin from Merck, Rahway, N.J.; cefpodoxime from Pharmacia-Upjohn, Kalamazoo, Mich.; clavulanic acid from Smith-Kline Beecham, King of Prussia, Pa.; and tazobactam from Lederle, Pearl River, N.Y. All antimicrobial agent-containing disks were obtained from

^{*} Corresponding author. Mailing address: Nosocomial Pathogens Laboratory Branch (G08), Centers for Disease Control and Prevention, 1600 Clifton Rd., Atlanta, GA 30333. Phone: (404) 639-3375. Fax: (404) 639-1381. E-mail: fnt1@cdc.gov.

TABLE 1. Primers used in this study

Primer			Seque	nce		
omp37, omp36,						
omp35						
U6815'-CGG	TTA	CGG	CCA	GTG	GGA	ATA-3'
L13165'-GAC	GCA	GAC	CGA	AAT	CGA	ACT-3'
pBR322						
15'-CAC	TAT	CGA	CTA	CGC	GAT	CA-3'
25'-ACG	ATA	GTC	ATG	CCC	CGC	GC-3'
bla _{KPC-1}						
35'-CTG	GAG	GAC	TAT	GAC	TTC	-3′
45'-ATA	CCA	CCC	TGA	CAG	CCG	-3′
55'-TGT	CAC	TGT	ATC	GCC	GTC-	-3′
65'-CGG	GTT	GGA	CTC	AAG	ACG-	-3′
75'-tga	TGC	GGT	ATT	TTC	TCC	-3′
85'-ACT	GAC	ACT	GGG	CTC	TGC	-3′
95'-gag	CTG	AAC	TCC	GCC	ATC	-3′
105'-CTC	AGT	GCT	CTA	CAG	AAA	ACC-3'
115′-taa	CCT	TCG	CCC	TCA	CAG	ATA C-3'
125'-TAT	TTT	TCC	GAG	ATG	GGT	GAC-3'
135'-AGC	AGA	ACT	AGA	CGG	CGA	TAC AGT
GAC A	ATC-	3′	-			-

Fisher Scientific. *E. coli* ATCC 25922, *Enterococcus faecalis* ATCC 29212, *Pseudomonas aeruginosa* ATCC 27853 (45), *E. coli* HB101, and *E. coli* DH5 α were used for quality control.

Isoelectric focusing of β-lactamases. Crude cell lysates were prepared by a previously described freeze-thaw procedure (68). Isoelectric focusing was performed as described by Matthew and Harris (37). Cell extracts were loaded onto commercially prepared polyacrylamide gel plates (pH 3.5 to 9.5; Pharmacia LKB, Piscataway, N.J.) and electrophoresed to equilibrium by using an LKB Multiphor II apparatus (Pharmacia LKB). β-Lactamases were visualized by staining the isoelectric focusing gel with a 0.05% solution of nitrocefin (BD Biosciences). The isoelectric points of SHV-29 (7.2), TEM-1 (5.4), and KPC-1 (6.7) were calculated by comparison to TEM-12 (5.25), TEM-3 (6.3), SHV-2 (7.6), and SHV-4 (7.8).

Examination of porin genes and porin expression. PCR amplifications were performed in a Thermoline Amplitron 1 thermal cycler using *Taq* polymerase (Pharmacia) with 30 cycles of amplification (1 min at 94°C, 1 min at 55°C, and 1 min at 72°C). The primers used to amplify porin genes were U681 and L1316 (Table 1). U681 and L1316 anneal to sequences conserved in porin genes located 215 and 850 bp downstream of the *ompK36* start codon, respectively (14).

Outer membrane proteins were isolated by sarcosyl extraction of total membrane preparations as described previously (22). Protein concentrations were determined with the bicinchoninic acid protein assay kit (Pierce, Rockford, Ill.) as described by the manufacturer. The proteins were examined on sodium dodecyl sulfate (SDS)–8 to 15% polyacrylamide linear gradient gels. For OmpK37 analysis, electrophoresis of outer membrane proteins (OMPs) was performed on 11% acrylamide–0.2% bisacrylamide–0.1% SDS gels (14). Samples were boiled for 5 min in Laemmli's sample buffer before electrophoresis. Gels were visualized by staining with Coomassie Blue R250.

Western blotting of OmpK37, OmpK36, and OmpK35 was performed as follows. Proteins from SDS-polyacrylamide gel electrophoresis (PAGE) gels (4 to 16% acrylamide–0.2% bisacrylamide–0.1% SDS) were transferred to Immobilon-P filters (Millipore) as described previously (14, 22). Filters were blocked in 1% bovine serum albumin in phosphate-buffered saline (PBS). After washing, the filters were incubated with diluted (1:100) anti-OmpK37, anti-OmpK36, or anti-OmpK35 antibody (14, 22) and then with alkaline phosphatase-labeled goat anti-rabbit immunoglobulin G (Sigma; 1:5,000). The filters were developed as described previously (14, 22). All the incubations were carried out at room temperature for 1 h in 1% bovine serum albumin–0.05% Tween 20–PBS, and after incubations with the antiserum, the filters were washed with 0.05% Tween 20–PBS (22).

Plasmid profile analysis and probing. Plasmid DNA from *K. pneumoniae* 1534 was isolated using the method described by Portnoy et al. (52). The DNA preparations were electrophoresed on 0.85% agarose gels in the presence of $0.5\times$ TBE buffer (45 mM Tris-HCl, 45 mM boric acid, and 1.25 mM EDTA, pH 8.3) at a constant voltage of 90 V for 15 h at 4°C. Supercoiled plasmid DNAs of

pDK9 (165 kb) and R1 (97.6 kb) and the plasmids in *E. coli* V517 (56.7, 5.8, 4.09, 3.15, 2.83, and 2.2 kb) were used as size standards.

The DNA was transferred from the agarose gel to a positively charged nylon membrane as described by the manufacturer (Zeta-Probe; Bio-Rad Laboratories, Hercules, Calif.) and fixed by baking for 3 h at 80°C. The DNA on the filter was hybridized with a 1,010-bp digoxigenin-labeled $bla_{\rm KPC-1}$ DNA probe. Hybridization (at 65°C for 15 h) was performed using the Genius nonradioactive nucleic acid labeling and detection system (Boehringer Mannheim Biochemicals, Indianapolis, Ind.) according to the manufacturer's protocol. The plasmids pDK9 and the plasmids in *E. coli* V517 were used as negative controls. Purified *K. pneumoniae* 1534 DNA was the positive control.

Carbapenem inactivation assay. In order to determine whether resistance to imipenem and meropenem was likely caused by production of a β -lactamase, a disk diffusion bioassay was performed. A suspension of *E. coli* DH5 α equivalent to a 0.5 McFarland standard was inoculated on a Mueller-Hinton agar plate as for disk diffusion. Then, five imipenem or meropenem disks were applied evenly spaced on the plate, four on the periphery and one in the center of the plate. A suspension of the organism to be tested for the presence of carbapenemase was adjusted to the turbidity of a 0.5 McFarland standard, and a loop was used to make a 15-mm streak on each side of one imipenem or meropenem disk on the periphery of the plate (the center disk served as the control). Four different organism suspensions were used on each plate. The plates were incubated at 37°C for 18 to 20 h. Alterations in shape of the zones of inhibition around the test organism were considered to be indications of carbapenemase activity. Negative controls for carbapenemase production included *E. coli* HB101 and *K. pneumoniae* ATCC 13883.

Filter mating. The filter mating protocol described by McDougal et al. (38) was used, except that incubation was performed at both 30 and 37°C. *E. coli* HB101 was used as the recipient.

Transformation. Plasmid DNA prepared from *K. pneumoniae* 1534 via a Qiagen plasmid midi-prep kit (Qiagen, Chatsworth, Calif.) was electroporated into *E. coli* HB101 as described previously (60). Transformants were selected on Luria-Bertani agar containing 120 μ g of streptomycin/ml and 1.5 μ g of imipenem/ml.

Cloning of bla_{KPC-1}. Total cellular DNA was isolated from K. pneumoniae 1534 using a Qiagen plasmid midi-prep kit and was partially digested with BamHI and HindIII (Gibco BRL, Gaithersburg, Md.). A derivative of pBR322, pBR322-catI (in which *bla*_{TEM} was replaced by *catI*), was constructed and used as the vector. The partially digested DNA was ligated into the corresponding sites of the vector by using T4 DNA ligase (Gibco BRL) and electroporated into E. coli DH5a. Clones were selected on Luria-Bertani agar plates containing 40 µg of chloramphenicol/ml and 1.5 µg of imipenem/ml. The initial clone, containing a 7.5-kb insert from K. pneumoniae 1534, was reduced in size to 3.4 kb via complete digestion with BamHI and religation into the same site of pBR322-catI by using T4 ligase. The plasmid DNA, pBR322-catI-bla_{KPC-1} containing the cloned bla_{KPC-1}, was prepared with a QIAprep Spin plasmid kit (Qiagen) and used in the DNA sequencing reactions. The cloned fragment was sequenced as described below by using pBR322-derived primers (Table 1, primers 1 and 2). Other primers used to complete the sequencing of the 3.4-kb insert are listed in Table 1 (primers 3 to 12).

 $bla_{\rm SHV}$, $bla_{\rm TEM}$, and $bla_{\rm KPC-1}$ -specific PCR. The primers and the PCR conditions used for amplification of $bla_{\rm SHV}$ and $bla_{\rm TEM}$ were those described by Rasheed et al. (56). The novel β -lactamase gene, $bla_{\rm KPC-1}$, was amplified from the parent strain, *K. pneumoniae* 1534, by using primers 5 and 10 (Table 1). The PCRs (total volume, 100 μ l) contained 0.5 μ M (each) primers, 250 μ M deoxynucleoside triphosphates, 2 mM MgCl₂, and 2.5 U of *Taq* DNA polymerase prepared in 1× reaction buffer supplied by the manufacturer (Perkin-Elmer, Applied Biosystems Division [PE-ABI], Foster City, Calif.). The reactions were amplified in a GeneAmp PCR System 9600 thermal cycler (PE-ABI). Cycling parameters were 5 min at 95°C, followed by 35 cycles of denaturation at 95°C for 1 min, annealing at 58°C for 30 s, and extension at 72°C for 1 min 30 s. The PCR amplification was ended by a final extension cycle at 72°C for 10 min.

Sequencing. Sequencing of the PCR products for bla_{SHV} , bla_{TEM} , and bla_{KPC-1} was performed after purification of the PCR products with a QIAquick PCR purification kit (Qiagen). bla_{KPC-1} was initially sequenced from plasmid DNA (pBR322-*catI-bla*_{KPC-1}). Cycle sequencing reactions were performed in a Gene-Amp PCR System 9600 thermal cycler with an ABI Prism dRhodamine Terminator cycle sequencing reaction kit according to instructions provided by the vendor (PE-ABI). Sequencing reaction products were purified on Centri-Sep spin columns (Princeton Separations, Adelphia, N.J.) and analyzed on an ABI Prism 377 DNA sequencer (PE-ABI).

To eliminate errors due to PCR amplification, leading and lagging strands were sequenced from two independent PCR products for all three β -lactamase

genes. The sequences obtained from PCR products were also compared to the sequences obtained from two independent clones (both leading and lagging strands) of $bla_{\rm KPC-1}$.

DNA sequencing data were analyzed by DNASIS for Windows (Hitachi Software Genetic Systems, San Francisco, Calif.). The DNA and protein sequences of other β -lactamases were from the European Molecular Biology Laboratory and the Swiss-Prot data banks. BLAST and BLASTX programs from the web site of the National Center for Biotechnology Information (www.ncbi.nlm.nih.gov /BLAST/) were used to identify blaKPC-1. The amino acid sequences of known class A β -lactamases (Nmc-A [42], IMI-1 [57], and Sme-1 [41]) were aligned by using the multiple alignment (Higgins-Sharp) option of DNASIS for Windows. The restriction map of the 3.4-kb cloned fragment was determined from the sequencing data by using DNASIS. The dendrogram presented in Fig. 6 was generated by DNASIS from the alignment of the amino acid sequence of KPC-1 with known β-lactamases (Higgins-Sharp) representative of class A β-lactamases, including CARB-3 (27), PSE-1 (24), SHV-1 (39), LEN-1 (2), TEM-1 (65), MEN-1 (6), OXY1 (19), CITDI (50), YENT (61), Nmc-A (42), IMI-1 (57), Sme-1 (41), L2 (71), ROB-1 (31), and BRO-1 (D. Beaulieu, L. Piche, T. R. Parr, Jr., K. Roeger-Lawry, P. Rosteck, and P. H. Roy, β-lactamase BRO-1 precursor [penicillinase], gi:24975813, GenBank, 1996); representative of class B β-lactamases, including IMP-1 (48) and CfiA (69); representative of class C β-lactamases (ACT-1 [7]); and representative of class D β-lactamases (OXA-1 [49]).

Transcriptional start site of bla_{KPC-1}. The transcriptional start site of bla_{KPC-1} was mapped by primer extension. Total RNA was isolated from parent strain K. pneumoniae 1534 and E. coli DH5a harboring the plasmid DNA encoding KPC-1 by using the SV total RNA isolation system (Promega Corporation, Madison, Wis.) as described by the manufacturer. Primer number 13 (Table 1) was labeled at the 5' end by [\gamma-32P]ATP (3,000 Ci/mmol, 10 mCi/ml). The primer labeling and the primer extension reactions were carried out by using primer extension system avian myeloblastosis virus reverse transcriptase (Promega) as described by the manufacturer. The sequencing reactions were performed by using the same primer with Promega's fmol DNA sequencing system as described by the manufacturer. The plasmid DNA encoding KPC-1 was used as template in the sequencing reactions. The primer extension and sequencing reaction products were run on an 8% denaturing polyacrylamide gel containing 7 M urea in $1 \times$ TBE (60). The gel was dried and exposed to a PhosphorImager intensifying screen for 5 h and analyzed by using the PhosphorImager system (Molecular Dynamics) with ImageQuant software.

β-lactamase purification. The cloned KPC-1 β-lactamase was purified for kinetic analysis from *E. coli* strain HY122 (DH5α/pBR322-catI-bla_{KPC-1}). Three 1-liter cultures of trypticase soy agar supplemented with 1 µg of imipenem/ml and 40 µg of chloramphenicol/ml were grown overnight at 37°C. Bacteria were harvested by centrifugation and washed with 50 mM phosphate buffer, pH 7.0. The pellets were resuspended in 10 ml of 0.2 M sodium acetate, pH 5.5, and subjected to five freeze-thaw cycles (68). The lysate was centrifuged at 20,000 imesg, and the β-lactamase activity of the supernatant was separated by column chromatography through Sephadex G-100 in 50 mM phosphate buffer, pH 7.0. Protein in peak fractions containing nitrocefin-hydrolyzing activity was precipitated with 90% ammonium sulfate; pellets were resuspended and dialyzed in 20 mM Tris, pH 7.8, at 4°C. The KPC-1 β-lactamase was eluted from a Q-Sepharose anion-exchange column in 20 mM Tris, pH 7.8, with a 0 to 0.5 M NaCl gradient. The protein concentration of the Q-Sepharose fractions was determined with the Pierce BCA protein assay. The purity of the KPC-1 preparation was determined by scanning densitometry of a colloidal blue-stained NuPAGE 10% bisacrylamide-Tris gel

Kinetic studies. Initial hydrolysis rates were measured in 50 mM phosphate buffer (pH 7.0) on a Shimadzu UV-1601 spectrophotometer at 25°C (68). K_m and $V_{\rm max}$ values were obtained by averaging results from Lineweaver-Burk, Eadie-Hofstee, Hanes-Woolf, and Cornish-Bowden direct linear plot analyses. Substrates were assayed on at least two separate days, with cephaloridine included as a reference each day. Inhibition of hydrolysis of 100 μ M nitrocefin was measured after a 5-min preincubation of enzyme with inhibitor in 100 μ I of phosphate buffer (pH 7.0). Fifty percent inhibitory concentrations were determined from inhibition graphs of percent control activity versus concentration of inhibitor.

Nucleotide sequence accession numbers. The nucleotide sequence of $bla_{\rm KPC-1}$ reported in this study will appear under GenBank accession number AF297554. The nucleotide sequence of $bla_{\rm SHV-29}$ reported here will appear under GenBank accession number AF301532.

RESULTS

Antimicrobial susceptibility patterns of *K. pneumoniae* 1534. The MICs of a variety of antimicrobial agents tested against *K*. pneumoniae 1534 are shown in Table 2. The isolate was resistant to imipenem and meropenem which had MICs of 16 μ g/ml. The isolate was also resistant to extended-spectrum cephalosporins and aztreonam. Although the MIC of amoxicillin did not decrease when it was tested in combination with clavulanic acid, the MIC of imipenem was reduced from 16 to 2 μ g/ml when tested in the presence of clavulanic acid (4 μ g/ml). Similarly, the MIC of meropenem was reduced from 16 to 1 μ g/ml in the presence of clavulanic acid (Table 2).

Imipenem and meropenem resistance involves production of β-lactamase. Isoelectric focusing of K. pneumoniae 1534 revealed three β -lactamases, with pIs of 7.2, 6.7, and 5.4 (Fig. 1, lane 3) (the extra bands between pIs 6.7 and 5.4 in lanes 1 to 3 are presumably degradation products of the pI 6.7 β-lactamase). To determine whether resistance to carbapenems could be attributed to the production of a β -lactamase, a disk diffusion carbapenem inactivation assay was performed. The assay was positive (Fig. 2), suggesting that a β -lactamase was involved in hydrolysis of imipenem and meropenem in K. pneumoniae 1534 and in the E. coli HB101 transformant (Fig. 2, disks 1 and 5, respectively). This β -lactamase was named K. pneumoniae carbapenemase 1, or KPC-1. The presence of EDTA did not inhibit the activity of the β -lactamase, nor did the addition of $ZnCl_2$ enhance the β -lactamase activity against imipenem or meropenem (data not shown).

PCR and DNA sequence analysis detected the presence of $bla_{\rm SHV}$ and $bla_{\rm TEM}$. Isoelectric focusing results suggested the presence of $bla_{\rm SHV}$ (pI 7.2) and $bla_{\rm TEM}$ (pI 5.4) derivatives (Fig. 1, lane 3). PCR analysis using $bla_{\rm SHV}$ - and $bla_{\rm TEM}$ -specific primers confirmed the presence of these genes in *K. pneumoniae* 1534 (data not shown). DNA sequencing results identified the genes as $bla_{\rm TEM-1}$ and a novel $bla_{\rm SHV-29}$ (H. Yigit, G. J. Anderson, and F. C. Tenover, unpublished data).

Cloning of the bla_{KPC-1} gene from the *E. coli* DH5 α transformant. The filter mating results showed that the carbapenem resistance determinant in *K. pneumoniae* 1534 was not encoded by a conjugative plasmid. However, electroporation results demonstrated that the gene encoding resistance to carbapenems, extended-spectrum cephalosporins, and aztreonam was located on an approximately 50-kb plasmid (Fig. 3A, lane 4).

A $bla_{\rm KPC-1}$ -specific DNA probe confirmed the location of $bla_{\rm KPC-1}$ on the 50-kb plasmid (Fig. 3B, lanes 3 and 4). Resistance to chloramphenicol, gentamicin, tobramycin, and trimethoprim-sulfamethoxazole was not linked to imipenem and meropenem resistance (Table 2, see HB101 transformant).

Neither bla_{SHV-29} nor bla_{TEM-1} was present in the transformants examined by PCR analysis. However, bla_{KPC-1} -specific products were generated from the *E. coli* transformants (data not shown), which was consistent with the isoelectric focusing data showing only one β -lactamase with a pI of 6.7 (Fig. 1, lane 2).

The antibiogram of the *E. coli* DH5 α bla_{KPC-1} clone (which harbors the plasmid pBR322-catI-bla_{KPC-1}, which contains the 3.4-kb cloned insert from *K. pneumoniae* 1534) is shown in Table 2. The MICs are consistent with those for *E. coli* HB101 transformants containing the 50-kb plasmid encoding KPC-1 (Table 2). This demonstrates that the β -lactamase gene located on the 3.4-kb fragment is responsible for the resistance to carbapenems, extended-spectrum cephalosporins, and aztreonam. *E. coli* DH5 α (pBR322-catI-bla_{KPC-1}) encoded a sin-

	MIC (µg/ml)												
Antimicrobial agent(s)	K. pneumoniae 1534 (parent)	E. coli DH5α	E. coli DH5α (pBR322-catI-bla _{KPC-1})	<i>E. coli</i> HB101 transformant containing <i>bla</i> _{KPC-1}	E. coli HB101								
Imipenem	16	≤0.25	8	8	≤0.25								
Imipenem-clavulanic acid ^a	2	≤0.25	0.5	0.5	≤0.25								
Meropenem	16	≤0.25	4	4	≤0.25								
Meropenem-clavulanic acid	1	≤0.25	≤0.25	≤0.25	≤0.25								
Ampicillin	>64	2	>64	>64	4								
Amoxicillin-clavulanic acid	>32/16	2/1	>32/16	>32/16	2/1								
Piperacillin-tazobactam	>128/4	$\leq 1/4$	>128/4	>128/4	$\leq 1/4$								
Ceftazidime	32	≤2	8	8	≤2								
Cefoxitin	32	≤2	16	16	4								
Cefpodoxime	>16	0.5	>16	>16	≤0.25								
Cefotaxime	64	≤1	16	8	≤1								
Ceftriaxone	>64	≤1	32	32	≤1								
Aztreonam	>64	≤1	>64	>64	≤1								
Gentamicin	>16	≤0.25	≤0.25	≤0.25	≤0.25								
Tobramycin	>16	≤0.25	≤0.25	≤0.25	≤0.25								
Trimethoprim-sulfamethoxazole	>8	≤0.12	≤0.12	≤0.12	≤0.12								
Chloramphenicol	32	4	>32	4	4								

TABLE 2. Antimicrobial susceptibility patterns of K. pneumoniae 1534, E. coli DH5α clone, and E. coli HB101 transformant

^a Clavulanic acid was tested at a fixed concentration of 4 µg/ml.

gle β -lactamase with a pI of 6.7, as shown by isoelectric focusing (Fig. 1, lane 1).

Sequence analysis of bla_{KPC-1} . The nucleotide sequence of the carbapenemase gene was determined from pBR322-*catI-bla*_{KPC-1}. The nucleotide sequence of bla_{KPC-1} (Fig. 4) did not show significant similarity to those of any other β -lactamase genes or other sequences in GenBank.

The location of the *bla*_{KPC-1} open reading frame (ORF) was

verified by *Hin*dIII subcloning of the 3.4-kb cloned fragment. The fragment contained one *Hin*dIII site that cleaves in the predicted ORF of bla_{KPC-1} (Fig. 4). Both of the *Hin*dIII subfragments of the original 3.4-kb fragment were subcloned, but neither encoded a functional β -lactamase. Therefore, this region was proven to represent the ORF for bla_{KPC-1} .

 $bla_{\text{KPC-1}}$ contained an 879-bp coding region which encoded a 32,230-Da protein containing 293 amino acids (Fig. 4). The



FIG. 1. Isoelectric focusing patterns of carbapenem-resistant *K. pneumoniae* 1534. The gel was stained with nitrocefin, which is specific for β -lactamases. Lane 1, cell lysate prepared from the imipenem-resistant *E. coli* DH5 α containing the bla_{KPC-1} gene on pBR322-*catI*; lane 2, cell lysate prepared from imipenem-resistant *E. coli* HB101 that was transformed with *K. pneumoniae* 1534 DNA; lane 3, cell lysate prepared from *K. pneumoniae* 1534; lane 4, cell lysates prepared from strains producing SHV-2 (pI of 7.6), TEM-3 (pI of 6.3), and TEM-1 (pI of 5.4); lane 5, cell lysates prepared from strains producing TEM-1 (pI of 5.4) and SHV-4 (pI of 7.6). TEM-3 (pI of 6.5), and 5.4 are presumably degradation products of KPC-1 (lanes 1 to 3). The pIs of the β -lactamases were calculated by using the known pls of TEM-12 (pI of 5.25), TEM-10 (pI of 6.3), SHV-3 (pI of 6.8), SHV-2 (pI of 7.6), and SHV-4 (pI of 7.8).



FIG. 2. (A) Inhibition assay with imipenem (IPM); (B) inhibition assay with meropenem (MEM). Disks: 1, lysate of *K. pneumoniae* 1534 (parent strain); 2, lysate of *E. coli* HB101/pBR322-catl; 3, disk control with imipenem (A) or meropenem (B); 4, lysate of *K. pneumoniae* ATCC 13883; 5, lysate of *E. coli* HB101/pBR322-catl-bla_{KPC-1} clone.

protein contains a serine-serine-phenylalanine-lysine (S-S-F-K) and a lysine-threonine-glycine (K-T-G) motif. These sequences, S-X-X-K and K-T-G, are characteristic of class A serine β -lactamases (25, 26). Other conserved residues among class A carbapenemases (55, 63) are shown in bold and underlined in Fig. 4.

A six-nucleotide sequence located eight nucleotides upstream from the ATG initiation codon (AAGGAA) was identified as a possible ribosome-binding site (59, 62) for bla_{KPC-1} . mRNA primer extension results identified the GATTAC sequence as the -10 region and determined the mRNA starting site for bla_{KPC-1} (the mRNA start site is denoted +1 in Fig. 4). The primer extension results also suggested GATAAT as the putative -35 region (Fig. 4).

Amino acid sequence similarity to other *β*-lactamases. KPC-1 had the highest similarity to the class A carbapenemhydrolyzing β-lactamases, in particular to Sme-1 (45% identical) from Serratia marcescens (41). The amino acid sequences of three known class A carbapenemases were aligned with the amino acid sequence of KPC-1 (Fig. 5). The regions critical for catalysis are shown in Fig. 5 (25, 26, 35, 36, 55). The residues known or suggested to be important for class A carbapenemase activity by Raquet et al. (55) are marked by asterisks (C69, S70, K73, H105, S130, R164, E166, N170, D179, R220, K234, S237, and C238). Interestingly, H105 and S237 were not conserved in KPC-1. KPC-1 contained a tryptophan at position 105 and a threonine at position 237. KPC-1 also showed similarity to some other class A β-lactamases, including Nmc-A (44%) (42), IMI-1 (43%) (57), OXY-1 (39%) (19), and MEN-1 (34%) (6). These results, in combination with the kinetic data presented in the next section, place KPC-1 in Bush functional group 2f (8), together with other class A carbapenemases.

A dendrogram was generated (Fig. 6) from the amino acid sequence alignment of KPC-1 with other class A β -lactamases. This shows that KPC-1 is more closely related to the subgroup including YENT (61), CITDI (50), OXY-1 (19), and MEN-1 (6) than to TEM-1 (65) and SHV-1 (39). This finding is in agreement with the results presented for Sme-1 by T. Nass et al. (41). KPC-1 had low amino acid sequence similarity to β -lactamases of class B, e.g., IMP-1 (48) and Cfi-A (69); class C, e.g., AmpC and ACT-1 (7); and class D, e.g., OXA-1 (49) (Fig. 6).

Kinetic parameters. The kinetic parameters for KPC-1 are summarized in Table 3. The KPC-1 enzyme used in these studies was approximately 90% pure. KPC-1 hydrolyzed β-lactams from the penicillin, cephalosporin, carbapenem, and monobactam groups. The highest k_{cat} values were obtained with cephaloridine, which demonstrated a k_{cat} value approximately four times higher than that for cephalothin or nitrocefin and three times higher than that for ampicillin. Piperacillin, benzylpenicillin, and cloxacillin, the other penicillins tested, had similar k_{cat} values approximately 10 to 14 times lower than that of cephaloridine. KPC-1 showed hydrolytic activity against the carbapenems; hydrolysis of imipenem occurred at a rate approximately 25 times slower than that of cephaloridine. Meropenem had a k_{cat} value four times lower than that for imipenem. Hydrolysis rates for cefotaxime and ceftazidime were 24 and 3,370 times lower than the value obtained for cephaloridine. Between the two extended-spectrum cephalosporins tested, cefotaxime had the highest k_{cat} value, approximately 140 times higher than the $k_{\rm cat}$ value for ceftazidime. Of the 13 substrates used in these experiments, cefoxitin and ceftazidime had the lowest hydrolysis rates.

KPC-1 had the highest affinity for meropenem, with a K_m of 12 μ M. Other substrates with low K_m s were piperacillin, penicillin, and nitrocefin, with K_m s that ranged from 18 to 24 μ M. Cephaloridine had the highest K_m , 560 μ M.

Hydrolytic efficiencies, measured by k_{cat}/K_m , revealed that penicillin was hydrolyzed by KPC-1 approximately two times more efficiently than cephaloridine. Nitrocefin had the highest catalytic efficiency of the substrates tested, with a value five times that of cephaloridine. The hydrolytic efficiencies of KPC-1 for imipenem and meropenem were substantially less



FIG. 3. (A) Plasmid profiles of *K. pneumoniae* 1534 and an *E. coli* transformant on 0.85% agarose gel. Lane 1, plasmid RP4 (57-kb); lane 2, plasmid pDK9 (165-kb); lane 3, total DNA prepared from an *E. coli* HB101 transformant; lane 4, total DNA isolated from *K. pneumoniae* 1534; lane 5, plasmid R1 (97-kb). Chr., chromosomal. (B) Southern blot of the gel shown in panel A after hybridization with a 1,010-bp *bla*_{KPC-1}-specific probe. Lane 1, RP4 DNA; lane 2, pDK9 DNA; and lane 5, R1 DNA served as negative controls for the probe. DNA isolated from *K. pneumoniae* 1534 (lane 4) was used as a positive control. Lane 3, DNA isolated from an *E. coli* HB101 transformant of *K. pneumoniae* 1534 DNA that was imipenem resistant.

than that of cephaloridine (26 and 42%, respectively). Cefotaxime was hydrolyzed the most efficiently of the three extended-spectrum cephalosporins tested, with a $k_{\rm cat}/K_m$ value of 15% that for cephaloridine. The hydrolytic efficiencies for cefoxitin and ceftazidime were 250 and 500 times lower than that for cephaloridine, respectively.

Clavulanic acid and tazobactam both inhibited the KPC-1 β -lactamase, with 50% inhibitory concentrations of 10.5 \pm 1.3

5′	cggg	gcag	ttaca	agccó	gtta	cagc	ctct	ggaga	Jäää	agcgi	gettę	lccâ	tcg	gt ga -3	taat 15	ccca	igetç	gtago	ggco	et ga -1	ttac	atcc	ggcc •	g cta •1	cacc	tago	tcca	acctt	.caaa	Caac RE	igaa S	tatc	gttg
131	ATG	TCA	L CTG	TAT	CGC	CGT	CTA	GTT	CTG	CTG	TCT	TGT	CTC	TCA	TGG	CCG	CTG	GCT	GGC	TTT	TCT	GCC	ACC	GCG	CTG	ACC	AAC	CTC	GTC	GCG	GAA	CCA	TTC
1	M	S		Y	R	R	L	V	L	L	S	C	L	S	₩	P	L	A	G	F	S	A	T	A	L	T	N	L	V	A	E	P	F
230	GCT	AAA	CTC	GAA	CAG	GAC	TTT	GGC	GGC	TCC	ATC	GGT	GTG	TAC	GCG	ATG	GAT	ACC	GGC	TCA	GGC	GCA	ACT	GTA	AGT	TAC	CGC	GCT	GAG	GAG	CGC	TTC	CCA
34	A	K	L	E	Q	D	F	G	G	S	I	G	V	Y	A	M	D	T	G	S	G	A	T	V	S	Y	R	A	E	E	R	F	P
329	CTG	TGC	AGC	tca	TTC	AAG	GGC	TTT	CTT	GCT	GCC	GCT	GTG	CTG	GCT	CGC	AGC	CAG	CAG	CAG	GCC	GGC	TTG	CTG	GAC	ACA	CCC	ATC	CGT	TAC	GGC	AAA	AAT
67	L	<u>C</u>	S	<u>s</u>	F	<u>K</u>	G	F	L	A	A	A	V	L	A	R	S	Q	Q	Q	A	G	L	L	D	T	P	I	R	Y	G	K	N
428	GCG	CTG	GTT	CCG	TGG	TCA	CCC	ATC	TCG	GAA	AAA	TAT	CTG	ACA	ACA	GGC	ATG	ACG	GTG	GCG	GAG	CTG	TCC	GCG	GCC	GCC	GTG	CAA	TAC	AGT	бат	aac	GCC
100	A	L	V	P	W	S	P	I	S	E	K	Y	L	T	T	G	M	T	V	A	E	L	S	A	A	A	V	Q	Y	<u>S</u>	<u>D</u>	<u>N</u>	A
527	GCC	GCC	AAT	TTG	TTG	CTG	aag	GAG	TTG	GGC	GGC	CCG	GCC	GGG	CTG	ACG	GCC	TTC	ATG	CGC	TCT	ATC	GGC	GAT	ACC	ACG	TTC	CGT	CTG	GAC	CGC	TGG	gag
133	A	A	N	L	L	L	K	E	L	G	G	P	A	G	L	T	A	F	M	R	S	I	G	D	T	T	F	R	L	D	R	W	E
																							Hind	III									
626	CTG	GAG	CTG	aac	TCC	GCC	ATC	CCA	AGC	GAT	GCG	CGC	gat	ACC	TCA	TCG	CCG	CGC	GCC	GTG	ACG	GA <u>a</u>	AGC	TT A	CAA	AAA	CTG	ACA	CTG	GGC	TCT	GCA	CTGL
166	L	E	L	<u>N</u>	S	A	I	P	S	D	A	R	D	T	S	S	P	R	A	V	T	E	S	L	Q	K	L	T	L	G	S	A	
725	GCT	GCG	CCG	CAG	CGG	CAG	CAG	TTT	GTT	GAT	TGG	CTA	AAG	GGA	AAC	ACG	ACC	GGC	AAC	CAC	CGC	ATC	CGC	GCG	GCG	GTG	CCG	GCA	GAC	TGG	GCA	GTC	GGA
199	A	A	P	Q	R	Q	Q	F	V	D	W	L	K	G	N	T	T	G	N	H	<u>R</u>	I	R	A	A	V	P	A	D	W	A	V	G
824	GAC	ааа	ACC	gga	ACC	TGC	GGA	GTG	TAT	GGC	ACG	GCA	AAT	GAC	TAT	GCC	GTC	GTC	TGG	CCC	ACT	GGG	CGC	GCA	CCT	ATT	GTG	TTG	GCC	GTC	TAC	ACC	CGG
232	D	к	T	g	<u>T</u>	C	G	V	Y	G	T	A	N	D	Y	A	V	V	W	P	T	G	R	A	P	I	V	L	A	V	Y	T	R
923 265	GCG A	CCT P	AAC N	AAG K	GAT D	GAC D	AAG K	CAC H	AGC S	GAG E	GCC A	GTC V	ATC I	GCC A	GCT A	GCG A	GCT A	AGA R	CTC L	GCG A	CTC L	GAG E	GGA G	TTG L	GGC G	GTC V	AAC N	GGG G	CAG Q	<u>TAA</u> *	ggct	ctga	aaa

FIG. 4. Nucleotide and deduced amino acid sequences of the novel class A carbapenemase KPC-1 isolated from *K. pneumoniae* 1534. The -10 and -35 regions of the putative promoter are underlined. The transcription start site indicated by the mRNA primer extension study is marked as +1. RBS indicates a potential ribosome-binding site (62). The *Hind*III recognition site and the conserved amino acid residues for class A carbapenemases are underlined (26, 35, 36). The start and stop codons for *bla*_{KPC-1} are marked with asterisks (59).

Sme-1	MSNKVNFKTA	SFLFSVCLAL	SAFNAHANKS	DAAAKQIKKL	EEDFDGRIGV
Nmc-A			NTK	GIDEIKNL	ETDFNGRIGV
IMI-1	MSLNVKPSRI	AILFSSCLVS	ISFFSQANTK	GIDEIKDL	ETDFNGRIGV
KPC-1	MSLYRRL	VLLSCLSWPL	AGF-SATALT	NLVAEPFAKL	EQDFGGSIGV
			69 70 73 * * *		
Sme-1	FAIDTGSGNT	FGYRS DERFP	LCSSFKGFLA	AAVLERVQQK	KLDINQKVKY
Nmc-A	YALDTGSGKS	FSYRA nerfp	LCSSFKGFLA	AAVLKGSQDN	RLNLNQIVNY
IMI-1	YALDTGSGKS	FSYKA NERFP	LCSSFKGFLA	AAVLKGSQDN	QLNLNQIVNY
<u>KPC-1</u>	$\texttt{YAMDTGS}\underline{\texttt{G}} \texttt{AT}$	VSYRA EERFP	LCSSFKGFLA	AAVLARSQQQ	AGLLDTPIRY
	105	catalyt	ic serine locus	100	
	105			130	
Sme-1	ESRDLEY H SP	ITTKYKGSGM	TLGDMA SAAL	QYSDNGATNI	IMERFLGGPE
Nmc-A	NTRSLEF H SP	ITTKYKDNGM	SLGDMA AAAL	QY <u>SDN</u> GATNI	ILERYIGGPE
IMI-1	NTRSLEF H SP	ITTKYKDNGM	SLGDMA AAAL	QY <u>SDN</u> GATNI	ILERYIGGPE
KPC-1	GKNALVPWSP	ISEKYLTTGM	TVAELSAAAV	QY <u>SDN</u> AAANL	LLKE-LGGPA
		164 166	5 170 *	179	
Sme-1	GMTKFMRSIG	DNEFRLDRWE	LELNTAIPGD	KRDTSTP KAV	ANSLNKLALG
Nmc-A	GMTKFMRSIG	DEDFR LDRWE	LDLNTAIPGD	ERDTSTP AAV	AKSLKTLALG
IMI - 1	GMTKFMRSIG	DKDFR LDRWE	LDLNTAIPGD	ERDTSTP AAV	AKSLKTLALG
KPC-1	GLTAFMRSIG	DTTFR LDRWE	LELNSAIPSD	ARDTS SPRAV	TESLQKLTLG
		Ω loop	locus		
		•		234	238
Sme-1	NVLNAKVKAI	YQNWLKGNTT	GDA R IRAS VP	ADWVVGDKTG	SCGAIGTAND
Nmc-A	NILSEHEKET	YQTWLKGNTT	GAA R IRAS VP	SDWVVGDKTG	SCGAYGT AND
IMI-1	NILNEREKET	YQTWLKGNTT	GAA R IRAS VP	SDWVVGDKTG	SCGAYGT AND
KPC-1	SALAAPQRQQ	FVDWLKGNTT	gnh r iraa vp	ADWAVGDKTG	TCGVYGTAND
			* -		*
			220	Jys234 locus	237
Smo_1	VAUTHIOUNDA		VEVDDVUEDV	TAPACOTAT	0.01
Nmc-A	VALAMORNOA	DITICIA	VEREVEREDE	TINEAGRIAL	DNIV
TMT_1	VALAMDENDA		NEVENNEEDK	VIADAGRIAI	DNIK.
KDC-1			DNKDDKHGEV	VIADADARIAI	FGLCVNCO*
MPC-1	THANAALIGUN	TTATVATIVA	THEODER	A TUUUUI/TUUT	YOUGAINGO.

FIG. 5. Alignment of the amino acid sequence of KPC-1 with that of Sme-1 from *S. marcescens* S6 (41), Nmc-A from *E. cloacae* NOR1 (42), and IMI-1 from *E. cloacae* (57). Dashes indicate the gaps that were inserted to optimize the alignment. The numbering is from Ambler et al. and Sykes (1, 67). The conserved domains of class A β -lactamases are underlined (12, 26, 35, 36, 55, 63, 66). The residues suggested to play a critical role for carbapenemase activity are marked by asterisks and underlined (63, 66). The positions where the KPC-1 sequence diverges from these conserved residues (positions 105 and 237) are indicated in italics.

 μ M for clavulanic acid and 0.374 \pm 0.034 μ M for tazobactam (data not shown). Tazobactam was a better inhibitor of KPC-1 than was clavulanic acid. No inhibition was observed when the enzyme was tested with 5 mM EDTA at pH 7.0.

Analysis of *K. pneumoniae* 1534 OMPs. The MICs of meropenem, ceftazidime, and cefotaxime were lower for the *E. coli* HB101 transformants and *E. coli* DH5 α (pBR322-*catI-bla*_{KPC-1}) than for the parent strain. This may be due to alterations in porin expression, which are known to increase the MICs of these drugs for *K. pneumoniae* isolates (5, 7, 34). Thus, an examination of the porin profile of the parent strain *K. pneumoniae* 1534 was performed, and the results were compared to those for two extended-spectrum-cephalosporin-susceptible strains, *K. pneumoniae* ATCC 13883 (type strain) and *K. pneumoniae* strain 37, a clinical isolate.

PCR analysis showed that *K. pneumoniae* strains 1534, ATCC 13883, and 37 each encode all three porin genes, *ompK35, ompK36*, and *ompK37*. The expression of the porin

genes was examined by purification of OMPs, separation on SDS-PAGE, and Western blotting with anti-OmpK36, anti-OmpK35, and anti-OmpK37 antisera. As reported by Hernandez-Alles et al. (22), the presence of OmpK35 and OmpK36 cannot be detected solely by their migration in gels, since in some strains OmpK36 migrates faster than OmpK35. As shown in Fig. 7A, this is the case for strains ATCC 13883 (lane 2) and 37 (lane 4), where OmpK35 apparently migrates slower than OmpK36. K. pneumoniae 1534, on the other hand, appears to lack OmpK35. A Western blot using anti-OmpK36-specific antisera (Fig. 7B) confirmed the identity of OmpK36 bands for K. pneumoniae strains ATCC 13883 (lane 1), 1534 (lane 2), and 37 (data not shown). Anti-OmpK35 sera is known to cross-react with OmpK36 (22) and reacted, as predicted, with two bands for ATCC 13883 (OmpK35 and OmpK36) (Fig. 7C, lane 1) but only one band with K. pneumoniae 1534 (OmpK36) (lane 2). These results suggest that OmpK35 was not expressed in K.



FIG. 6. Dendrogram showing similarity of 20 β-lactamases. The dendrogram was constructed by using DNASIS for Window's multiple alignment option (Higgins-Sharp). Sixteen of the β -lactamases are class A enzymes, CARB-3 from *P. aeruginosa* (27), PSE-1 from *P.* aeruginosa (24), SHV-1 from E. coli (39), LEN-1 from K. pneumoniae (2), TEM-1 from E. coli (65), MEN-1 from E. coli (6), OXY-1 from Klebsiella oxytoca (19), CITDI from C. diversus (50), YENT from Y. enterocolitica (61), Nmc-A from E. cloacae (42), IMI-1 from E. cloacae (57), Sme-1 from S. marcescens (41), L2 from Stenotrophomonas maltophilia (71), ROB -1 from Haemophilus influenzae (31), and BRO-1 from Moraxella catarrhalis (D. Beaulieu, L. Piche, T. R. Parr, Jr., K. Roeger-Lawry, P. Rosteck, and P. H. Roy, *β*-lactamase BRO-1 precursor [penicillinase], gi:2497581, Gen Bank, 1996); IMP-1 from S. marcescens (48) and Cfi-A from Bacteroides fragilis (69) were included as representatives of class B (metallo- β -lactamases); ACT-1 from K. pneumoniae (7) represents class C, AmpC β-lactamases; and OXA-1 from E. coli (49) represents class D enzymes.

pneumoniae 1534. None of the three *K. pneumoniae* strains appeared to express OmpK37 when tested with OmpK37-specific antisera (data not shown). (LamB and OmpA are not porins and do not contribute to antimicrobial resistance phenotypes.)

TABLE 3. Substrate profile for KPC-1

Substrate	$k_{\rm cat}~({\rm s}^{-1})$	Relative $k_{\rm cat}$	$K_m (\mu M)$	Relative k_{cat}/K_m
Cephaloridine	340 ± 16	100	560 ± 97	100
Cephalothin	75 ± 7	22	53 ± 0.3	240
Nitrocefin	78 ± 2	23	24 ± 5	530
Cefotaxime	14 ± 1	4.2	160 ± 19	15
Cefoxitin	0.26 ± 0.01	0.08	120 ± 17	0.4
Ceftazidime	0.10 ± 0.02	0.03	94 ± 1.8	0.2
Benzylpenicillin	32 ± 1	9.6	23 ± 2.3	230
Ampicillin	110 ± 4	33	130 ± 21	140
Cloxacillin	25 ± 1	7.4	100 ± 35	41
Piperacillin	24 ± 0	7.2	18 ± 3	230
Imipenem	12.4 ± 0	3.7	81 ± 0.4	26
Meropenem	3.0 ± 0.1	0.9	12 ± 2	41.5
Aztreonam	20 ± 1	5.9	310 ± 35	11

DISCUSSION

Carbapenems, such as imipenem and meropenem, are antibacterial agents with activity against many gram-negative, gram-positive, and anaerobic microorganisms. Carbapenems are often used to treat multidrug-resistant isolates, especially strains producing extended-spectrum β -lactamases (21, 29, 30, 47, 58). However, the recent appearance of β -lactamases capable of hydrolyzing carbapenems, in addition to other mechanisms of carbapenem resistance, creates an increasing therapeutic dilemma (21, 29, 30, 47, 58). Therefore, a better understanding of carbapenem resistance mechanisms is critical to optimizing therapy.

Here we describe the fourth class A β -lactamase with high carbapenem-hydrolyzing activity isolated from a strain of *Enterobacteriaceae*. The enzyme KPC-1 shows 45% amino acid identity to Sme-1 (41) from *S. marcescens* S6. Unlike KPC-1, the other three class A carbapenemases (Nmc-A [42], IMI-1 [57], and Sme-1 [41]) show >90% similarity to each other at the nucleotide level (41, 47). These findings suggest that KPC-1 might be derived from a different ancestor than the other three more closely related class A carbapenemases.

As Fig. 6 shows, KPC-1 and other class A carbapenemases belong to a subgroup that also includes CITDI, a penicillinase from Citrobacter diversus (50), YENT from Yersinia enteroco*litica* (61), and the extended-spectrum β -lactamase MEN-1 from E. coli (6). The alignment of KPC-1 to the other class A β-lactamases demonstrated that KPC-1 contained cysteine residues at positions 69 and 238 that can form a disulfide bridge (55), but KPC-1 did not contain the C77 or C123 residues present in TEM and SHV derivatives and in PSE-4 (51, 55). However, the other well-conserved residues among class A carbapenemases, S70, K73, S130, R164, E166, N170, D179, R220, and K234 (12, 55, 63) were present in KPC-1. The histidine at position 105 in Sme-1 (41, 63) was not conserved in KPC-1, which had a tryptophan at that site. Although H105 was conserved in Sme-1 (41), Nmc-A (42), and IMI-1 (57) and has been suggested to be important for carbapenemase activity, studies by Raquet et al. (55) have shown that the H105 is not sufficient for the carbapenemase activity. However, it might be useful to generate a mutant version of KPC-1 that contained this residue and to change H105 to A in Sme-1 to determine the direct effect of the H105 residue on the carbapenem hydrolysis activity. Kinetic study and X-ray analysis of



FIG. 7. SDS-PAGE and Western blot analysis of OMPs of *K. pneumoniae* 1543 and two carbapenem-susceptible control strains. (A) SDS-PAGE analysis of OMPs. Lane 1, molecular mass markers; lane 2, OMPs prepared from *K. pneumoniae* ATCC 13883; lane 3, OMPs prepared from *K. pneumoniae* 1534; lane 4, OMPs prepared from K. pneumoniae 37. (B) Western blot analysis of OMPs using anti-OmpK36 antisera. Lane 1, OMPs prepared from ATCC 13883; lane 2, OMPs prepared from *K. pneumoniae* 1534; lanes 3 and 4, molecular mass markers in kilodaltons. (C) Western blot analysis of OMPs using anti-OmpK35 antisera. Lane 1, OMPs prepared from ATCC 13883; lane 2, OMPs prepared from *K. pneumoniae* 1534; lanes 3 and 4, molecular mass markers in kilodaltons. (C) Western blot analysis of OMPs using anti-OmpK35 antisera. Lane 1, OMPs prepared from ATCC 13883; lane 2, OMPs prepared from *K. pneumoniae* 1534; lane 3, molecular mass markers in kilodaltons. (The OmpK35 antibody cross-reacts with OmpK36 [22].)

Nmc-A (33, 66) have suggested that N132, which is conserved in KPC-1, is critical for giving additional space for carbapenems and cephamycins.

The serine at position 237 is directly involved in imipenem hydrolysis in Sme-1 (63). An S237A substitution in Sme-1 resulted in a twofold reduction in the imipenem hydrolysis rate (63). Although an S-to-T change is not as dramatic, it may explain the lower carbapenem hydrolysis rate of KPC-1 in comparison to Sme-1. Sougakoff et al. (63) postulated that a hydroxyl residue (Ser or Thr) at position 237 of class A β -lactamases is generally associated with higher hydrolytic efficiency against cephalosporins. KPC-1 hydrolyzed cefotaxime better than does Sme-1, suggesting that the presence of threonine in KPC-1 extends the activity of the enzyme to a broader array of cephalosporins.

All class A carbapenemase genes have promoter regions that contain well-conserved -10 and -35 regions (41, 42, 57). Since there was no DNA similarity between $bla_{\rm KPC-1}$ and other class A carbapenemase genes, mRNA primer extension was performed to determine the start site and putative promoter region of the $bla_{\rm KPC-1}$ gene. The results revealed that $bla_{\rm KPC-1}$ contains a reasonably conserved -10 region (18, 59, 62) but does not contain a -35 region that resembles the *E. coli* consensus sequence (62; W. S. Reznikoff, personal communication). Thus, a putative -35 region 17 bp away from the -10 region has been assigned (Fig. 4). This finding suggests involve-

ment of alternative transcription factors (W. S. Reznikoff, personal communication) in the transcription of bla_{KPC-1} .

The regions flanking $bla_{\rm Sme-1}$ (40), $bla_{\rm IMI-1}$ (57), and $bla_{\rm Nmc-A}$ contained a second ORF in an orientation opposite to that of the β -lactamase structural gene. These ORFs encoded Lys-R-type DNA-binding proteins shown to be acting as positive regulators of the β -lactamase structural genes (40, 42, 57). Therefore, the regions upstream and downstream of the $bla_{\rm KPC-1}$ gene were examined for other potential ORFs. No homology to Lys-R-type DNA-binding proteins was found on either side of $bla_{\rm KPC-1}$.

Finally, we searched for alterations of one or more of the three porin proteins described for *K. pneumoniae* strains that are associated with increased MICs of extended-spectrum cephalosporins or carbapenems (14, 22, 53). *K. pneumoniae* 1534 encodes all three porin genes as shown by PCR analysis. However, SDS-PAGE analysis of *K. pneumoniae* 1534 porin profiles in combination with the Western blot analysis of purified OMP preparations with OmpK35, OmpK36, and OmpK37-specific antibodies showed that *K. pneumoniae* 1534 expresses only OmpK36 (Fig. 7). This is consistent with the observations of Hernandez-Alles et al., who reported that most extended-spectrum β -lactamase-producing *K. pneumoniae* strains produced only OmpK36 and not OmpK35 (22). Studies by Domenech-Sanchez et al. suggest that the newly identified porin, OmpK37, might be used by carbapenems to gain access to the

cell (14); however, this porin is strongly down-regulated under standard laboratory conditions and is often only seen in the absence of OmpK35 and OmpK36 expression. Thus, its contribution to resistance in *K. pneumoniae* 1534 remains unclear.

In conclusion, we have isolated and characterized a novel class A carbapenemase from *K. pneumoniae* 1534, designated KPC-1. The data presented here show that KPC-1 is mainly responsible for the carbapenem resistance of this strain. Our data also show that the absence of OmpK35 in particular is consistent with *K. pneumoniae* clinical isolates harboring class A β -lactamases.

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